



A33437 (065855.0122)

G A U 2161  
*[Handwritten signature]*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Boardman  
Serial No. : 09/730,266 Examiner: Not Yet Assigned  
Filed : December 5, 2000 Group Art Unit: 2161  
For : SYSTEM AND METHOD FOR ESTIMATING DISTRIBUTION  
USING A PRODUCT SPECIFIC UNIVERSE

INFORMATION  
DISCLOSURE  
STATEMENT

I hereby certify that this paper is being deposited with the United States  
Postal Service as first class mail in an envelope addressed to:  
Commissioner for Patents, Washington, D.C. 20231

April 2, 2001  
Date of Deposit

Paul D. Ackerman  
Attorney Name

*Paul D. Ackerman*  
Signature

39,891  
PTO Registration No.

April 2, 2001  
Date of Signature

RECEIVED  
APR 09 2001  
Technology Center 2100

Commissioner for Patents

Washington, D.C. 20231

Sir:

Pursuant to 36 C.F.R. §§ 1.56, 1.97 and 1.98, Applicants, by their  
attorneys, hereby bring the following documents to the attention of the Examiner in  
connection with the examination of the above-captioned patent application:

1. U.S. 5,420,786 to Felthausen, et al., issued May 30, 1995.
2. U.S. 5,781,893 to Felthausen, et al., issued July 14, 1998.

Copies of the above-identified documents are enclosed, together with a Form PTO-1449 listing these documents.

Insofar as Applicants' attorneys are aware, as of the date of mailing of this document there has been no mailing of a first Office Action on the merits for the above-captioned patent application. Accordingly, it is respectfully submitted that, pursuant to 37 C.F.R. 1.97(b), no additional fees are required. However, if additional fees are required, the Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 02-4377.

Respectfully submitted,



Paul D. Ackerman  
Patent Office Reg. No. 39,891

Attorneys for Applicant  
(212) 408-2585

Enclosures

|   |  |                          |
|---|--|--------------------------|
| <b>Form PTO-1449 U.S. Department of Commerce<br/>(REV. 2-82) Patent and Trademark Office</b><br><br><b>INFORMATION DISCLOSURE STATEMENT<br/>BY APPLICANT</b><br><br>(Use several sheets if necessary) | Atty. Docket No.<br>065855.0122 (A33437) | Serial No.<br>09/730,266 |
|   | Applicant<br>Boardman                    |                          |
|   | Filing Date<br>December 5, 2000          | Group<br>2161            |
|   |  |                          |

 RECEIVED  
APR 09 2001  
Technology Center 2100

### U.S. PATENT DOCUMENTS

| *Exam.<br>Init. | Document No.  | Date     | Name              | Class | Subclass | Filing Date if<br>Appro. |
|-----------------|---------------|----------|-------------------|-------|----------|--------------------------|
|                 | 5 4 2 0 7 8 6 | 05/30/95 | Felthausen et al. | 364   | 401      |                          |
|                 | 5 7 8 1 8 9 3 | 07/14/98 | Felthausen et al. | 705   | 210      |                          |
|                 |               |          |                   |       |          |                          |

### FOREIGN PATENT DOCUMENTS

| Document No. | Date | Country | Class | Subclass | Translator<br>Yes No |
|--------------|------|---------|-------|----------|----------------------|
|              |      |         |       |          |                      |
|              |      |         |       |          |                      |

### OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

|  |  |  |  |
|--|--|--|--|
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

Examiner

Date Considered

\* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

NY02:317106.1